

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Takashi Hashimoto et al.

Application No.: 10/086,363

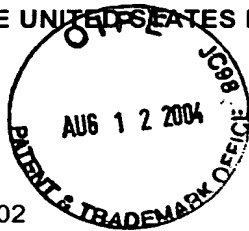
Filing Date: March 4, 2002

Title: OPTICAL FIBER HOLDING DEVICE OPTICAL DISPERSION-EQUALIZER, AND METHOD OF MANUFACTURING OPTICAL FIBER HOLDING DEVICE

Group Art Unit: 2876

Examiner: Jared Fureman

Confirmation No.: 2704



THIRD  
INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is a THIRD Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☒ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \_\_\_\_\_ is enclosed for the fee due.
- ☐ Charge \_\_\_\_\_ to credit card. Form PTO-2038 is attached.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By

Ellen-Marcie Emas

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Date: August 12, 2004

BURNS DOANE

BURNS DOANE SWECKER & MATHIS LLP  
INTELLECTUAL PROPERTY LAW

THIRD  
INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

(8/04)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Takashi Hashimoto et al.	)	Group Art Unit: 2876
Application No.: 10/086,363	)	Examiner: Jared Fureman
Filed: March 4, 2002	)	Confirmation No.: 2704
For: OPTICAL FIBER HOLDING DEVICE	)	
OPTICAL DISPERSION-EQUALIZER,	)	
AND METHOD OF MANUFACTURING	)	
OPTICAL FIBER HOLDING DEVICE	)	

**THIRD INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: August 12, 2004

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information was cited in a corresponding European Search Report dated July 19, 2004, and a Japanese Office Action dated July 27, 2004, and is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

The documents are being submitted after a first Office Action on the merits but prior to the closing of prosecution, therefore under 37 C.F.R. § 1.97(c), a statement is enclosed.

I, the undersigned, hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

**THIRD** Information Disclosure Statement

Application No. 10/086,363

Attorney's Docket No. 027260-518

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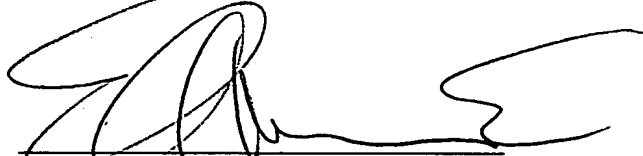
To assist the Examiner, the document is / documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date August 12, 2004

By:



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**FIRST  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/086,363
Filing Date	March 4, 2002
First Named Inventor	Takashi Hashimoto et al.
Examiner Name	Jared Fureman
Attorney Docket Number	027260-518

**U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	6,229,827		Fernald et al.	05-08-2001
	6,461,059		Ando et al.	10-08-2002
	2001/0026665		Ando et al.	10-04-2001

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	STATUS						
					Translation	Partial Translation	Eng. Lang. Summary	Search Report	IPER	Abstract	Cited in Spec
	WO 99/19755		WIPO	02-22-1999				X			
	2000-009949		Japan	01-14-2000						X	
	2000-180677		Japan	06-30-2000						X	
	2000-028934		Japan	01-28-2000						X	
	2000-252920		Japan	09-14-2000						X	
	WO 01/53880		WIPO	07-26-2001				X			

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.